

Ethics - Understanding Participation and Avoiding the Psychologist's Role in Our Courts

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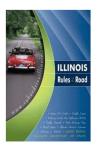
DEFINITIONS















Federal & State Law



HIPAA

42 CFR Part 2 - Alcohol & Drug Abuse

Illinois Mental Health and Developmental Disabilities confidentiality act - IMHDDCA

Illinois Alcohol and Drug Abuse Confidentiality Act



IMHDDCA



Disclosure of Records/Information?

- Child under the age of 12
- Between 12 and under the age of 18
- Over 18



Either parent or guardian of a child less than 12

- Either parent is construed as any parent who's parental rights have not been terminated
- If one parent says disclose and the other says no, the one parent who says disclose is sufficient to make a disclosure
- NOTE: No provision for GAL or Child's Representative

IMHDDCA



Between12 and 18

- If recipient is informed and does not object; or if the therapist does not find that there are compelling reasons for denying the access.
- The parent or guardian who is denied access by either the recipient or the therapist may petition a court for access to the record.
- An attorney or guardian ad litem who represents a minor 12 years of age or older in any judicial or administrative proceeding, provided that the court or administrative hearing officer has entered an order granting the attorney this right



Parent or guardian absolute right to know:

If child's age is between 12 and 18

- Current physical and mental condition,
- diagnosis,
- treatment needs,
- services provided,
- and services needed, including medication, if any

GAL vs Child's Representative



Guardian Ad Litem

- Witness Report, Deposition, Testimony
- No Confidentiality
- Limited ability to file pleadings
- Can be authorized to disclose MH records 12 an up.

Child's Representative

- Cannot be called as a witness
- Limited confidentiality
- May file pleadings in the interest of the child.
- Can be authorized to disclose MH records



Authority to disclosure recipient over 18?

- The recipient
- The guardian of a recipient who is 18 years or older
- An agent appointed under a recipient's power of attorney for health care or for property, when the power of attorney authorizes the access.

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FIFIVIFIXIS	OF A RELEA	FAMILY LAW - MENTAL HEALTH - HUMA
	LEASE OF MENTAL HEALTH, AND OTHER PERSONAL HEALTH	200 OPATROY DRIVE FOX RIVER GROVE, IL 6002 87-729-0238
	RMATION	8037000
I,, hereby auti	horize	
to exchange/release any and all records or informat	(Facility Thompse Connection)	
	(Name of Patient)	
(APECIFIC NATURE OF INFORMATION TO BE DISCLOSED)		
The following items must be checked and initialed to be included in the use and/or disclosure of other health information:		VALID MENTAL HEALTH CONSENT CHECKLIS
Mental Health Information.	records and information. Psychotherapy Notes.	The release must contain ALL of the following components:
to(Receiving Agency/person)	(Address)	 Is the person authorizing a person who is designated under Section 5 (740 ILCS 110/4) of the Confidentiality act?
For the purpose of: (please check all that apply)		☐ Is the person or agency to whom disclosure is to be made identified?
Continuing (health and mental health) treatment or care and continuity of care	Billing, payment and financial matters and arrangements	☐ Is the purpose for which disclosure is to be made identified?
Therapist transition	Consultation, advise and representation	☐ Is the specific nature of the information to be disclosed identified?
Housing and other arrangements and	regarding my condition and needs	☐ Are the check boxes checked for all types of data to be disclosed?
services	Other	$\label{eq:continuous} \square \qquad \text{Are the blank lines next to the check-boxes initialed for all types of data to be disclosed?}$
	-	Does the release identify that there is a right to inspect and copy the information to be
This consent is valid until (calendar date)		disclosed?
I understand that I have the right to inspect and e-	□ Does the release provide for the consequences of a refusal to consent, if any?	
authorization at any time. Any such revocation will not affect materials disclosed prior to the revocation. The above-named person authorized to receive this information may use the information only for the purposes outlined above and may not redisclosed it without my written authorization.		☐ Is there a calendar date on which the consent expires, provided that if no calendar date is sti- information may be released only on the day the consent form is received by the therapist? ☐ Is there a right to revoke the consent at any time provided?
I also understand that if I refuse to consent to this release of information the following may occur		☐ Is the consent form signed by the person entitled to give consent?
		☐ Is the signature witnessed by a person who can attest to the identity of the person?
(Minor recipion, 13-17 yrs. Inchesion or	Signature of adult patient or parcent (Date)	
(Witness)		If any above element is missing the release is fatally flawed.
NOTICE TO PATIENT Under the provisions of the Illinois Mental Health and Dev Federal and State Alcohol and Substance Abuse Confidenti	AND RECEIVING AGENCY: eloposental Disabilities Confidentiality Act, HIPAA, and applicable ality Acts, there may not be redisclosure of any of the information purent of the pattern what is a minar, specifically authorizes such totes.	
REVOCATION The undersigned hereby revokes the above authorization for	OF AUTHORIZATION disclosure.	
(Pathot, percet, guardies)	(Witness)	
(Anthorized agent - Power of etternes attached)	(Date)	



Emergency disclosure without consent

- Imminent risk of:
 - Physical or Emotional Harm or Disease or Death
- Disclosure to any person or entity who can protect from the harm.

IMHDDCA



what if... you are Subpoenaed?

- No one shall serve a subpoena seeking to obtain access to records or communications unless the subpoena is accompanied by either:
 - A RELEASE or
 - WRITTEN ORDER issued by a judge AFTER SERVICE OF MOTION ON PROFESSIONAL
- Release or Order must be authorizing the disclosure of the records and the issuance of the subpoena.
- No person shall comply with a subpoena for records or communications otherwise.
- Juvenile Court considerations -Abuse and Neglect vs Delinquency. FEDS Department of Health and Human Services

Subpoena with Co	Durt Order NYE LAW GROUPLIT SAMILY LAW - MINTEL IN HEALTH - HEAL
March 23, 1998 IN THE CIRCUIT COURT OF COOK COUNTY, ILLINOIS COUNTY DEPARTMENT DOMESTIC RELATIONS DIVISION IN RE THE MARRIAGE OF: John Doe, NO. 83 D 99999	IN THE CIRCUIT COURT OF COOK COUNTY, ILLINOIS COUNTY DEPARTMENT - DOMESTIC RELATIONS DIVISION IN RE THE MARRIAGE OF: NO. 83 D 99999
Petitioner,) Jane Doe., and -) Respondent.) If the requested records are produced on or before the date below, your appearancefor deposition will not be required.	Petitioner, - and - } Jane Doe., Respondent.
TO: Mental Health Provider 28 N. Clark Street Chicago, II. 60001 YOU ARE COMMANDED to appear to give your deposition before a notary public at Nye Law Group, Ltd., 200 Opatrny Dr., Fox River Grove, Illinois, 60021 on June 19, 2018 at 9:00 a.m. YOU ARE COMMANDED ALSO to bring any and all documents, records, statements, reports, recordings and/or summaries regarding any and all mental health services provided by you to any member of the above identified plaintiff in your possession or control.	ORDER AUTHORIZYS, THE ISSUANCE OF A SUBPONA FOR MENTAL HEALTH AND/OR ALCOHOL AND DRUG ABUNE RECORDS AND INFORMATION THIS CAUSE HAVING COME ON TO BE HEARD before the Honorable Pade county, and all parties having been given Notice as required by (20 Lt.S) 365-81-00, 42 USC sec. 29046-2.) and 42 CFR Pert 2,) (and/or) (740 Lt.S) 110 10(d)) and the Court having been informed in the premises. IT IS HEREBY ORDERED: 1. The Office of the
YOUR FAILURE TO APPEAR IN RESPONSE TO THIS SUBPOENA WILL SUBJECT YOU TO PUNISHMENT FOR CONTEMP TO FTHIS COURT. No person shall comply with a subpoena for mental health records or communications pursuant to Section 16 of the Mental Health and Developmental Disabilities Confidentiality Act, 740 HLCS 110/10, onless the subpoena is accompanied by a written order that authorizes the issuance of the subpoena and the disclosure of records or communications or by the written consent under Section 5 of that Act of the person whose records are being usuaght. The Law Offices of Nye & Associates, Ltd. 200 Opatumy Dr. Fox River Grove, Illinois 60021 847-259-0026 Clerk of Court/Attorney	*(alcobol and substance abuse treatment provider pursuant to 20 ILCS 3058-102, 4 USC 5ec. 20046-2, and 42 CFR Part 2) *(and/on *4c Mental Health and Developmental Disabilities services provider pursuant to 70 ILCS 1010-1010, et seq). 2. Said Provider shall tender said Records and/or Communications directly to Judge 2. Courtroom
Fax 312-565-0458 E-Mail Office@myelawyer.com CERTIFICATE OF SERVICE BY MAIL, E-MAIL, FAX, OR PERSONAL The undersigned hereby certifies under penalties of perguir as provided by law pursuant to 735 ILCS 571-109 that the above notice and any attached pleadings were personally delivered, faced to the above fax numbers, e-mailed to the above address, placed in the U.S. Mail properly addressed, with first class potage prepaid, to the parties at the addresses set forth above before 5:00 P.M. on	ENTER: JUDGE The Law Offices of Nye & Associates, Ltd. 200 Openry Or. 200 Openry

Subpoena – Mandatory Language



"No person shall comply with a subpoena for mental health records or communications pursuant to Section 10 of the Mental Health and Developmental Disabilities Confidentiality Act, 740 ILCS 110/10, unless the subpoena is accompanied by a written order that authorizes the issuance of the subpoena and the disclosure of records or communications or by the written consent under Section 5 of that Act of the person whose records are being sought."



what is the potential Liability upon violation of the act?

§ 15. Any person aggrieved by a violation of this Act may:

- Sue for damages,
- An injunction, or
- Other appropriate relief.
- Reasonable attorney's fees and costs may be awarded to the successful plaintiff.

§ 16. Any person who knowingly and willfully violates any provision of this Act is guilty of a Class A misdemeanor.

HIPAA



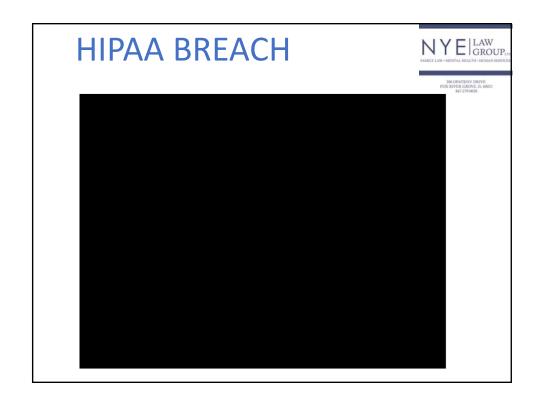
Not just Technicalities Anymore

- Minimum level of confidentiality for all healthcare disciplines
- Protection of Mental Health Information and Psychotherapy Notes
- Paper vs Electronic Medical Records
- Insurance and Other Third Party Disclosures
- Process Required forms
- Security Plan
- Access in a Clinically reasonable time!

HIPAA Forms



- Privacy Statement
- Business Associates Agreement
- Consent for Service
- Security Plan
 - Physical Hard Files
 - Electronic Files



HIPAA

PAMELY LAW -MENTAL HEALTH - PHINAS SERVICES

Electronic Data

- Best Practices
- Anti-Virus All Software Up to Date
- Computers, Tablets, Smart Devices
- Business Associates Agreement
 - EMR
 - Billing
- Email and Texting
- Security Plan
 - Encryption



CLIENT COMMUNICATIONS



Email & Texting

- Inherently Insecure!
 - Email IDFPR
 - Gmail
 - Private Domain
 - Public vs Private Servers
 - Texting IDFPR
 - Spoofing
 - Proving
- HIPAA Compliant?
 - Email
 - Texting

CLIENT COMMUNICATIONS



Facebook, Twitter, Instagram...

- Who are your friends
 - Fake Profiles
 - Cyber Stalking
 - Security
 - Example psych inpatient ward.
- Dual Relationships
- Individual and/or Agency Policy

CHILD ABUSE AND REPORTING





ANCRA



Abused & Neglected Reporting Act

When must you make a DCFS report?

A mandatory reporter having reasonable cause to believe a child known to them in their professional or official capacity may be abused child or neglected child **SHALL IMMEDIATELLY** report or cause a report to be made to the Department of Children and Family Services.

ANCRA



Upon whom do you make a DCFS report?

- A parent,
- family member, or
- person charged with a child's welfare
 - Teacher
 - Bus Driver
 - Coach
 - Tutor
 - Babysitter

ANCRA



When is a report made?

All reports of suspected child abuse or neglect made under this Act shall be made immediately by telephone to the central register established via the toll-free telephone number.

800-25-ABUSE



ANCRA



Report shall include, if known:

- The name and address of the reporter, child, and his parents or other persons having his custody;
- The child's age;
- The nature of the child's condition including any evidence of previous injuries or disabilities; and
- Any other information that the person filing the report believes might be helpful in establishing the cause of such abuse or neglect and the identity of the person believed to have caused such abuse or neglect.

ANCRA



Who is a "Mandated reporter"

Professional or professional's delegate *while engaged in*: social services, law enforcement, education, the care of an eligible adult or eligible adults, or any of the occupations required to be licensed under the Clinical Psychologist Licensing Act, the Clinical Social Work and Social Work Practice Act, ... the Marriage and Family Therapy Licensing Act, the Medical Practice Act of 1987, ... the Nursing and Advanced Practice Nursing Act, the Nursing Home Administrators Licensing and Disciplinary Act, ..., the Professional Counselor and Clinical Professional Counselor Licensing Act, ... and the Illinois Public Accounting Act;

Just about anyone else in a profession or who works for a state agency

ANCRA



If THERE IS A POSSIBILITY
THAT a child is abused or neglected?



Elder Abuse/Vulnerable Adults

PAMELY LAW -MENTAL HEALTH - PHINASS SERVICES

200 OFFATRINY DRIVE
FOX REVIEW CREATER IL 60021

Omitted from IMHDDCA.

However: The privileged quality of communication between any professional person required to report and his or her patient or client shall not apply and shall not constitute grounds for failure to report as situations involving:

- abused,
- neglected, or
- financially exploited eligible adults

Illinois Marriage and Dissolution of Marriage Act



Court Ordered Counselling

- Are you Obligated?
- Waiver of Privilege?
- Alcohol and Drug Abuse
- Anger Counseling
- Evaluations for "Good Cause Shown" {215 evaluations}

Custody ... no more...

- Parenting Time & Responsibilities
- 146 days Child Support

Maintenance

Guidelines – taken before child support

Confidential Counseling?



Sec. 607.6. Counseling.

(a) The court may order individual counseling for the child, family counseling for one or more of the parties and the child, or parental education for one or more of the parties, if it finds one or more of the following:

- (1) both parents or all parties agree to the order;
- (2) the child's physical health is endangered or that the child's emotional development is impaired;
- (3) abuse of allocated parenting time under Section 607.5 has occurred; or
- (4) one or both of the parties have violated the allocation judgment with regard to conduct affecting or in the presence of the child.
- (b) The court may apportion the costs of counseling between the parties as appropriate.
- (c) The remedies provided in this Section are in addition to, and do not diminish or abridge in any way, the court's power to exercise its authority through contempt or other proceedings.
- (d) All counseling sessions shall be confidential. The communications in counseling shall not be used in any manner in litigation nor relied upon by any expert appointed by the court or retained by any party.



Parental Job Description - "Caretaking functions"

Includes, but is not limited to, the following:

- Satisfying a child's nutritional needs;
- Managing a child's bedtime and wake-up routines;
- Caring for a child when the child is sick or injured;
- Being attentive to a child's personal hygiene needs, including washing, grooming, and dressing;
- Playing with a child and ensuring the child attends scheduled extracurricular activities;
- · Protecting a child's physical safety; and
- Providing transportation for a child;

FAMILY LAW



Developmental Functions:

- Developmental needs, including the acquisition of motor and language skills, toilet training, self-confidence, and maturation;
- Providing discipline, giving instruction in manners, assigning and supervising chores, and performing other tasks that attend to a child's needs for behavioral control and self-restraint;
- Ensuring the child attends school, including remedial and special services appropriate
 to the child's needs and interests, communicating with teachers and counselors, and
 supervising homework;
- Helping a child develop and maintain appropriate interpersonal relationships with peers, siblings, and other family members;
- Ensuring the child attends medical appointments and is available for medical follow-up and meeting the medical needs of the child in the home;
- Providing moral and ethical guidance for a child; and
- Arranging alternative care for a child by a family member, babysitter, or other child
 care provider or facility, including investigating such alternatives, communicating with
 providers, and supervising such care.



Best Interest of Child

- (1) wishes of the child, taking into account the child's maturity and ability to express reasoned and independent preferences as to decision-making;
- (2) child's adjustment to his or her home, school, and community;
- (3) mental and physical health of all individuals involved;
- (4) ability of the parents to cooperate to make decisions, or the level of conflict between the parties that may affect their ability to share decision-making;
- (5) level of each parent's participation in past significant decision-making with respect to the child;
- (6) any prior agreement or course of conduct between the parents relating to decision-making with respect to the child;
- (7) wishes of the parents:
- (8) child's needs;
- (9) distance between the parents' residences, the cost and difficulty of transporting the child, each parent's and the child's daily schedules, and the ability of the parents to cooperate in the arrangement;
- (10) whether a restriction on decision-making is appropriate under Section 603.10;
- (11) willingness and ability of each parent to facilitate and encourage a close and continuing relationship between the other parent and the child;
- (12) physical violence or threat of physical violence by the child's parent directed against the child;
- (13) occurrence of abuse against the child or other member of the child's household;
- (14) whether one of the parents is a sex offender, and if so, the exact nature of the offense and what, if any, treatment in which the parent has successfully participated; and
- (15) any other factor that the court expressly finds to be relevant.

FAMILY LAW

Allocating parenting time - factors



200 OPATRNY DRIVE FOX RIVER GROVE, IL 60021

- (1) the wishes of each parent seeking parenting time;
- (2) wishes of the child, taking into account the child's maturity and ability to express reasoned and independent preferences as to parenting time;
- (3) the amount of time each parent spent performing caretaking functions with respect to the child in the 24 months preceding the filing of any petition for allocation of parental responsibilities or, if the child is under 2 years of age, since the child's birth;
- (4) any prior agreement or course of conduct between the parents relating to caretaking functions with respect to the child;
- (5) the interaction and interrelationship of the child with his or her parents and siblings and with any other person who may significantly affect the child's best interests;
- (6) the child's adjustment to his or her home, school, and community;
- (7) the mental and physical health of all individuals involved;
- (8) the child's needs;
- (9) the distance between the parents' residences, the cost and difficulty of transporting the child, each parent's and the child's daily schedules, and the ability of the parents to cooperate in the arrangement;
- (10) whether a restriction on parenting time is appropriate;
- (11) the physical violence or threat of physical violence by the child's parent directed against the child or other member of the child's household;
- (12) the willingness and ability of each parent to place the needs of the child ahead of his or her own needs;
- (13) the willingness and ability of each parent to facilitate and encourage a close and continuing relationship between the other parent and the child;
- (14) the occurrence of abuse against the child or other member of the child's household;
- (15) whether one of the parents is a convicted sex offender or lives with a convicted sex offender and, if so, the exact nature of the offense and what if any treatment the offender has successfully participated in; the parties are entitled to a hearing on the issues raised in this paragraph;
- (16) the terms of a parent's military family-care plan that a parent must complete before deployment if a parent is a member of the United States Armed Forces who is being deployed; and
- (17) any other factor that the court expressly finds to be relevant; shall not consider conduct of a parent that does not affect that parent's relationship to the child.



Restrictions on Parenting

"Seriously endangered" - mental, moral, or physical health or emotional development,

- (1) a reduction, elimination, or other adjustment of the parent's decision-making responsibilities or parenting time, or both decision-making responsibilities and parenting time;
- (2) supervision, including ordering the Department of Children and Family Services to exercise continuing supervision under Section 5 of the Children and Family Services Act;
- (3) requiring the exchange of the child between the parents through an intermediary or in a protected setting;
- (4) restraining a parent's communication with or proximity to the other parent or the child;
- (5) requiring a parent to abstain from possessing or consuming alcohol or non- prescribed drugs while exercising parenting time with the child and within a specified period immediately preceding the exercise of parenting time;
- (6) restricting the presence of specific persons while a parent is exercising parenting time with the child;
- (7) requiring a parent to post a bond to secure the return of the child following the parent's exercise of parenting time or to secure other performance required by the court;
- (8) requiring a parent to complete a treatment program for perpetrators of abuse, for drug or alcohol abuse, or for other behavior that is the basis for restricting parental responsibilities under this Section; and
- (9) any other constraints or conditions that the court deems necessary to provide for the child's safety or welfare.

FAMILY LAW



Sec 604.10 Interviews.

(a) Court's interview of child.

in chambers to ascertain the child's wishes as to the allocation of parental responsibilities.

Counsel shall be present at the interview unless otherwise agreed upon by the parties.

Shall be recorded by a court reporter - filed under seal and released only upon order of the court.

(b) Court's professional. to assist the court in determining the child's best interests.

Shall be in writing and sent by the professional to counsel for the parties and to the court, under seal.

Admitted into evidence without testimony from its author, unless a party objects.

Shall testify as the court's witness and be subject to cross-examination.



604.10(b) Report Best Interests of the Child

- (1) a description of the procedures employed during the evaluation;
- (2) a report of the data collected;
- (3) all test results;
- (4) any conclusions of the professional relating to the allocation of parental responsibilities under Sections 602.5 and 602.7;
- (5) any recommendations of the professional concerning the allocation of parental responsibilities or the child's relocation; and
- (6) an explanation of any limitations in the evaluation or any reservations of the professional regarding the resulting recommendations.

The court shall examine and consider the professional's report only after it has been admitted into evidence or after the parties have waived their right to cross-examine the professional.

Sec. 607.5. Abuse of allocated parenting time.



(3) upon consideration of all relevant factors, particularly a history or possibility of domestic violence, a requirement that the parties participate in family or individual counseling, the expense of which shall be allocated by the court; if counseling is ordered, all counseling sessions shall be confidential, and the communications in counseling shall not be used in any manner in litigation nor relied upon by an expert appointed by the court or retained by any party;

GUARDIANSHIP Probate



- Lacks the capacity to make reasoned decisions to protect themselves from harm.
- Minor
- Adult

GUARDIANSHIP TYPES OF GUARDIANSHIP



Person – limited powers for placement and administration of medications and ECT

Limited = less than all

Plenary = all

Estate – Yearly financial accounting

Limited = less than all

Plenary = all

GUARDIANSHIP





- Any person over 18 years of age
- Not in an adverse position

GUARDIANSHIP

Judicial Process



- Petition
- Temporary Petition
- Physician's Report
- Appointment of Guardian ad Litem (GAL)

GUARDIANSHIP Who Pays



Petitioner can be assessed "reasonable attorney's fees" for:

- Petitioner's Counsel
- GAL
- Respondent's Counsel

ALTERNATIVE TO GUARDIANSHIP Substitute decision maker



- Power of Attorney
 - Health Care
 - Property
- Mental Health Treatment Preference Declaration Act. (755 ILCS 43/1 et seq.)
- Surrogate Decision Maker act. (755 ILCS 40/35)
- Probate Judges look to the Preference of the Ward

TELE-THERAPY



The New Frontier – Therapy Deserts

State Law

- Few Prohibitions Few Prospects
- New Hampshire -Persons licensed by the board who practice electronically shall be subject to standards of care for the practice of telemedicine and tele-health for psychology established by the board pursuant to rules adopted under RSA 541-A.
- **North Carolina** Office of Rural Health to oversee and monitor establishment and administration of statewide telepsychiatry program.

Federal Only as to Payment Issues -

- Veterans Administration
- Chronically-ill applicable individuals at high risk of hospitalization through a health information technology-enabled provider network that includes care coordinators, a chronic disease registry, and home tele-health technology.
- Medically underserved areas and facilities of the Indian Health Service telehealth services
- Ad Hoc Insurance BIG COVERAGE ISSUE

Nye Law Group, Ltd



Telephone Consultation Service {TCS}

- WHAT IS TCS?
- HOTLINE FOR THERAPISTS
- HOW THE SERVICE OPERATES
- WHAT IS COVERED IN THE TCS CONTRACT
- WHAT IS NOT INCLUDED IN THE TCS CONTRACT
- ICA DISCOUNT FOR INDIVIDUALS
- GROUP DISCOUNTS AVAILABLE



THANK YOU!



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